PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/009.062 R**an**smittal Filing Date December 6, 2001 First Named Inventor **FORM** VINEY et al. FEB 0 9 2006 Art Unit To be determined **Examiner Name** (to be used for Total Number of To be determined respondence after initial filing) Attorney Docket Number NEU-00120.P.1 er of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC ~ Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): 1. Copy of Acceptance of Power of Attorney Request for Refund Express Abandonment Request 2. Response to Notification of Missing Req. 3. Sequence Listing (paper and diskette) CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Also enclosed. Reply to Missing Parts/ Incomplete Application 4. Statement under 37 CFR 1.821 Reply to Missing Parts 5 Postcard under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Signature Printed name David R. Preston Date Reg. No. 38,710 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Reza Savari Date Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FEB 0 9 2006 3		are required to re	U.S. Patent and Tra	Approved for use through 07/ ademark Office; U.S. DEPAR rmation unless it displays a v	TMENT OF COMMERCE		
Fees pursuant to PRIS Letted Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL			Complete if Known				
			Application Number	10/009,062	-		
FEE IKAN	121/11	IAL	Filing Date	December 6, 2001			
For FY	2005		First Named Inventor	VINEY et al.	RECEIVE		
Applicant claims small entity status. See 37 CFR 1.27			Examiner Name	To be determined	" LOLIVE		
		Art Unit	To be determined	FFR 1 3 200			
TOTAL AMOUNT OF PAYMENT	(\$) 75	0.00	Attorney Docket No.	NEU-00120.P.1	200		

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Deposit Account Deposit Account Number: 501321 Deposit Account Name: David R. Preston & Assoc. For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)								
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Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s)								
under 37 CF	R 1.16 and 1	.17		Clean	t any overpayi			
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
FEE CALCULATION								
1. BASIC FILING, SEA	RCH, AND	EXAMINATION	FEES		-			
	FILING	FEES Small Entity	SEAR	CH FEES		TION FEES		
Application Type	Fee (\$)	Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	mall Entity Fee (\$)	Fees Paid (\$)	
Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		
2. EXCESS CLAIM FE Fee Description	ES .						Small Entity	
Each claim over 20	(including R	eissues)				Fee (\$) 50	<u>Fee (\$)</u> 25	
Each independent claim over 3 (including Reissues)						. 200	100	
Multiple dependent						360	180	
Total Claims Extra Claims Fee (\$) Fee Paid (\$)							pendent Claims	
HP = highest number of total		x or, if greater than 20.				<u>Fee (\$)</u>	Fee Paid (\$)	
Indep. Claims	Extra Clain		<u>Fee</u>	Paid (\$)				
- 3 or HP = HP = highest number of inde	ependent claims	xs paid for, if greater t	_ = than 3.					
3. APPLICATION SIZE	FEE		_					
If the specification and	drawings e	exceed 100 shee	ts of pap	er (excluding e	electronically	filed sequen	ce or computer	
listings under 37 C sheets or fraction t	hereof See	, the application	n size iee	aue is \$250 (\$ nd 37 CFR 1 1	6(c)	il entity) for e	ach additional 50	
Total Sheets	Extra Shee	<u>ets Numb</u>	er of each	additional 50 c	r fraction the		\$)	
- 100 =	· ·	/ 50 =		(round up to a v	vhole number)	×	=	
I. OTHER FEE(S) Non-English Specifi	ication. \$1	30 fee (no smal	ll entity d	iscount)			Fees Paid (\$)	
Other (e.g., late filip					ed application		\$750.00	
	7) / / /	o ummoniu	onany abandone	application		<u> </u>	
UBMITTED BY	LYW		R	egistration No.	0.710	Telephone	2050 704 0075	
9.000	() H	n	(A	Attorney/Agent) 3	8,710	reieblione	858-724-0375	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Signature

Name (Print/Type) David R. Preston

Docket Number: NEU-00120.P.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ication of: Examiner: To be determined VINEY et al. Art Unit: To be determined Application No.: 10/009,062 Filed: December 6, 2001 GENE EXPRESSION For: MODULATED IN GASTROINTESTINAL **INFLAMMATION** Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 C.F.R. § 1.137 (b)

Sir:

Applicants are responding to the Notification of Missing Requirements mailed on July 21, 2004, notifying Applicants that an initial or substitute computer readable form of the Sequence Listing must be provided, along with an initial or substitute paper copy or compact disk of the Sequence listing as well as an amendment directing its entry into the specification.

Applicants submit herewith, a substitute computer readable form of the Sequence Listing, along with a substitute paper copy of the Sequence listing as well as an amendment directing its entry into the specification. Applicants also submit a Statemen under 35 C.F.R. § 1.821.

Applicants also submit herewith, a Petition for Revival of an Application for Patent Abandoned Unintentionally under 37 CFR §1.137(b), along with a fee of \$750.00.

IN THE SPECIFICATION

Please enter the enclosed Sequence Listing into the specification replacing the previously submitted Sequence Listing of the specification.

Please apply any charges not covered, or any credits, to <u>Deposit Account 501321</u> in the name of David R. Preston & Associates having <u>Customer No.: 24232</u>.

Respectfully submitted,

David R. Preston

Reg. No. 38,710

David R. Preston & Associates, A.P.C. 12625 High Bluff Drive Suite 205 San Diego, CA 92130

Date: Feb 6, 2006

Telephone: 858.724.0375 Facsimile: 858.724.0384



Page 1 of 2

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Individuals Office – Address COMMISSIONER FOR PATENTS P.C. Der 1490 Annable, Vening 22113-1450

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

216019-40

10/009,062 Joanne Viney

INTERNATIONAL APPLICATION NO.

PCT/US00/15973

LA. FILING DATE

PRIORITY DATE

06/09/2000

Brian M Berliner O'Melveny & Myers 400 South Hope Street Los Angeles, CA 90071-2899

Date Mailed: 07/21/2004

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Copy of the International Application filed on 12/06/2001
- Copy of the International Search Report filed on 12/06/2001
- Copy of IPE Report filed on 12/06/2001
- Biochemical Sequence Diskette filed on 08/05/2002
- Oath or Declaration filed on 06/11/2002
- Biochemical Sequence Listing filed on 08/05/2002
- Request for Immediate Examination filed on 12/06/2001
- U.S. Basic National Fees filed on 12/06/2001

Applicant's response filed 08/05/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 02/22/2002 have not been completed.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of Annex C of the Administrative Instructions and 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an

amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be malled to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

LAMONT M HUNTER

Telephone: (703) 305-3686

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.					
10/009,062	PCT/US00/15973	216019-40					

FORM PCT/DO/EO/916 (371 Formalities Notice)